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Stephen A. Slusher, Reg. No. 43,924

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March 27, 2002 (Date)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Albert Sattin, et al.

: Art Unit: 1653

Serial No.:

10/002,878

: Examiner: Unknown

Filed: For: November 14, 2001 TRI-PEPTIDES FOR

NEUROLOGICAL AND NEUROBEHAVIOR APPLICATIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449's submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the application and prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Dated: March 27, 2002

Stephen A. Slusher, Reg. No. 43,924

Direct line: (505) 998-6130

Enclosures: PTO Forms 1449;

Copy of References

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